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(REV. 11-94)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

10200-003-999

09/830972

INTERNATIONAL APPLICATION NO.
PCT/US99/26160

INTERNATIONAL FILING DATE
November 5, 1999

PRIORITY DATE CLAIMED
November 6, 1998

TITLE OF INVENTION
NUCLEOTIDE AND PROTEIN SEQUENCES OF NOGO GENES AND METHODS BASED THEREON

APPLICANT(S) FOR DO/EO/US
Martin E. Schwab and Maio S. Chen

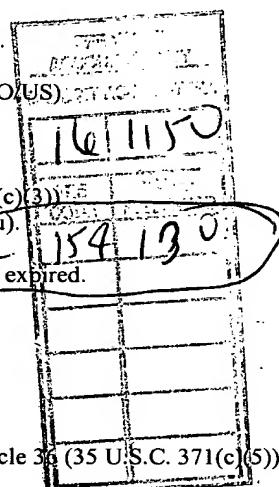
Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items under 35 U.S.C. 371:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the international Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureaus.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 37(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Copies of the International Publication No. WO 00/31235 of International Application No. PCT/US99/26160 including 41 sheets of drawings, and the International Search Report are enclosed.



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09/80972

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November 5, 199917. ☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

| CLAIMS | | | | |
|---|--------------------|--------------------|-----------------------------|-----------------|
| (1)FOR | (2)NUMBER FILED | (3)NUMBER EXTRA | (4)RATE | (5)CALCULATIONS |
| TOTAL CLAIMS | 102 - 20 | 82 | X \$ 18.00 | \$ 1,476.00 |
| INDEPENDENT CLAIMS | 31 - 3 | 28 | X \$ 80.00 | 2,240.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$ 270.00 | \$ 270.00 |
| BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): CHECK ONE BOX ONLY | | | | |
| <input checked="" type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 690 | | | | \$ 690.00 |
| <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 710 | | | | |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 1000 | | | | |
| <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) \$ 100 | | | | |
| <input type="checkbox"/> Filing with EPO or JPO search report \$ 860 | | | | |
| Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than 20 <input checked="" type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 130.00 |
| | | | TOTAL OF ABOVE CALCULATIONS | = 4,806.00 |
| Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28). | | | | - \$ 0.00 |
| | | | SUBTOTAL | = 4,806.00 |
| Processing fee of \$130.00 for furnishing the English Translation later than 20 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + |
| | | | TOTAL FEES ENCLOSED | \$ 4,806.00 |

- a. ☐ A check in the amount of \$__ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No. 16-1150 in the amount of \$4,806.00 to cover the above fees. A copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-1150. A copy of this sheet is enclosed.

18. ☐ Other instructions

19. ☒ All correspondence for this application should be mailed to
 PENNIE & EDMONDS LLP
 1155 AVENUE OF THE AMERICAS
 NEW YORK, NEW YORK 10036-2711

20. ☒ All telephone inquiries should be made to (212) 790-2803Adriane M. Antler
NAMEAdriane M. Antler
SIGNATURE32,605
REGISTRATION NUMBERMay 2, 2001
DATE